



01-02-02

AA

Section 4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

Inventor(s): DAVID GRAWROCK et al.

SC/Serial No.: 09/934,926

Confirm. No.: 8871

Filed: August 21, 2001

Title: UNIFIED PERMISSIONS CONTROL FOR  
REMOVELY AND LOCALLY STORED FILES  
WHOSE INFORMATIONAL CONTENT MAY  
BE PROTECTED BY SMART-LOCKING  
AND/OR BUBBLE-PROTECTION

PATENT APPLICATION

Art Unit: 2131

Examiner: Unknown

Customer No. 23910

CERTIFICATE OF MAILING BY "EXPRESS MAIL" UNDER 37 C.F.R. § 1.10

"Express Mail" mailing label number: EL 467322388US

Date of Mailing: December 27, 2001

I hereby certify that this correspondence is being deposited in the United States Postal Service utilizing the "Express Mail Post Office to Addressee" service addressed to **Box Missing Parts, Commissioner for Patents, Washington, DC 20231** and mailed on the above Date of Mailing with the above "Express Mail" mailing label number.

*Janet G. Moore*  
Janet G. Moore

(Signature)

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Application -- Filing Date Granted, dated 10/04/01, enclosed are the following documents in connection with the above-identified application:

- ☒ Copy of Notice to File Missing Parts -- Filing Date Granted
- ☒ Declaration for Patent Application, signed in counterpart
- ☒ Assignment, signed in counterpart and Assignment Recordation Form Cover Sheet
- ☐ Power of Attorney
- ☐ Statement(s) of small entity status
- ☒ Petition for an Extension of Time pursuant to 37 C.F.R. §1.136(a) for responding to the Notice to File Missing Parts



\_\_\_\_\_ Information Disclosure Statement under 37 C.F.R. §1.56  
 \_\_\_\_\_ Preliminary Amendment  
 ✓ \_\_\_\_\_ Submission of Formal Drawings  
 ✓ \_\_\_\_\_ Request for Correction of Office Records Regarding Spelling of Inventor's Name Pursuant to MPEP §605.04(b)  
 ✓ \_\_\_\_\_ Declaration of Cameron W. Cotrill In Support of Request for Correction of Office Records Regarding Spelling of Inventor's Name Pursuant to MPEP §605.04(b)  
 ✓ \_\_\_\_\_ Petition for Accepting Declaration Signed by Less Than All The Named Inventors Pursuant to 37 C.F.R. §1.47(a)  
 ✓ \_\_\_\_\_ Declaration by Gideon Gimlan In Support of Petition Pursuant to 37 C.F.R. §1.47(a)

### **Patent Application Filing Fee**

The patent application filing fee (if applicable) is calculated as shown below:

No. Filed	No. Extra	Rate Small Entity/ Large Entity	
Basic		\$370.00	
Fee		\$740.00	= \$
Total		\$ 9.00	
Claims ** - 20	= * X	\$ 18.00	= \$
Independent		\$ 42.00	
Claims ** - 3	= * X	\$ 84.00	= \$
First Presentation of		\$140.00	
Multiple Dependent Claim(s) _____		\$280.00	= \$
Total PATENT APPLICATION FILING FEE:			\$ _____

\* If the difference is less than zero, enter "0".

\*\* Count claims after any amendment.

### **Total Fee**

The Total Fee associated with this communication has been calculated as shown below:

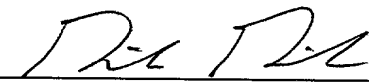
—	Patent application filing fee	\$
✓	Net fee for Petition for Accepting Declaration	\$ 130.00
✓	Net fee for extension of time	\$ 110.00
✓	Assignment recording fee (\$40.00)	\$ 40.00
✓	Surcharge under 37 C.F.R. §1.16(e) for late filing of filing fee or Declaration:	
✓	Large Entity	\$ 130.00
—	Small Entity	\$ 65.00
TOTAL FEE DUE:		\$ 410.00

**Method of Payment of Fees**

- ✓ A check in the amount of the TOTAL FEE DUE is enclosed.
- ✓ The Commissioner is hereby authorized to charge underpayment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

Date: 12-27-2001

By:   
 Gideon Gimlan  
 Reg. No. 31,955  
 Telephone (408) 748-7300

FLIESLER DUBB MEYER & LOVEJOY LLP  
 Four Embarcadero Center, Fourth Floor  
 San Francisco, California 94111-4156  
 Telephone (415) 362-3800



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor(s): DAVID GRAWROCK et al.

SC/Serial No.: 09/934,926

Confirm. No.: 8871

Filed: August 21, 2001

Title: UNIFIED PERMISSIONS CONTROL FOR  
REMOTELY AND LOCALLY STORED FILES  
WHOSE INFORMATIONAL CONTENT MAY BE  
PROTECTED BY SMART-LOCKING AND/OR  
BUBBLE-PROTECTION

PATENT APPLICATION

Art Unit: 2131

Examiner: Unknown

Customer No. 23910

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to **Official Draftsman, Commissioner for Patents, Washington, DC 20231**, on December 27, 2001.

  
Gideon Gimlan, Reg. No. 31,955

Signature Date: December 27, 2001

(Attorney Signature)

**SUBMISSION OF FORMAL DRAWINGS TRANSMITTAL LETTER**

Official Draftsman  
Commissioner for Patents  
Washington, DC 20231

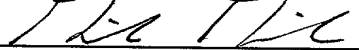
Sir:

Enclosed are 19 sheets of formal drawings for the above-identified patent application as required by the Notice to File Missing Parts dated 10/04/2001.

Subject to the Examiner's approval, please substitute the enclosed drawings for the drawings previously submitted.

Respectfully submitted,

Date: 12-27-01

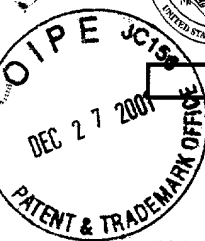
By:   
Gideon Gimlan, Reg. No. 31,955  
Telephone (408) 748-7300

FLIESLER DUBB MEYER & LOVEJOY LLP  
Four Embarcadero Center, Fourth Floor  
San Francisco, California 94111-4156  
Telephone (415) 362-3800



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/934,926	08/21/2001	David Grawrock	SYMA-01045US0

CONFIRMATION NO. 8871

## FORMALITIES LETTER



\*OC000000006850410\*

Gideon Gimlan, Esq.  
FLIESLER, DUBB, MEYER & LOVEJOY LLP  
Four Embarcadero Center, Suite 400  
San Francisco, CA 94111-4156

RECEIVED

OCT 11 2001

FLIESLER DUBB,  
MEYER & LOVEJOY

Date Mailed: 10/04/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/04/2002 SDIRETA1 00000045 09934926

01 FC1105

130.00 0P

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Sadie

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

[illegible]